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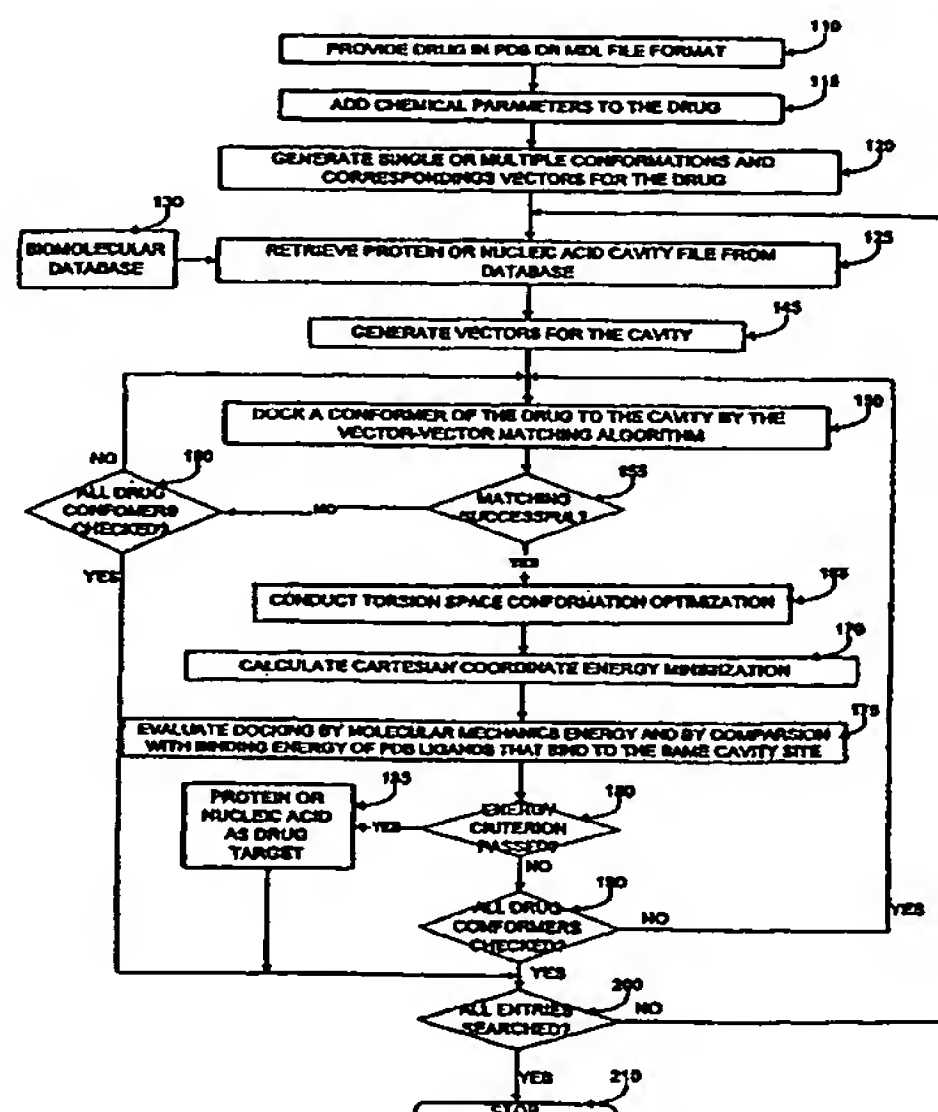
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(54) Title: **METHOD AND APPARATUS FOR COMPUTER AUTOMATED DETECTION OF PROTEIN AND NUCLEIC ACID TARGETS OF A CHEMICAL COMPOUND**

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(57) Abstract: A method and apparatus are disclosed for computer-automated detection of putative protein and nucleic acid targets of a chemical compound. The disclosed method and apparatus have potential applications in identification of unknown or secondary therapeutic targets and targets related to side-effect and toxicity of drugs, lead compounds or natural products. A ligand-biomolecule inverse-docking approach is disclosed as the method for finding putative targets, which searches a biomolecular cavity database to find protein and nucleic acid cavities that a chemical compound can be docked into. Docking is by shape-matching between a chemical compound (in single or multiple conformations) and a cavity model (a sphere cluster) using a disclosed vector-vector matching algorithm.

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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

Docked ligand-biomolecule structures are subject to conformation optimization. Putative targets are selected by evaluation of both ligand-biomolecule interaction energy and binding competitiveness against known binders. A method is also disclosed for computer-automated generation of a biomolecular cavity database from protein and nucleic acid 3D structure database.

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**METHOD AND APPARATUS FOR COMPUTER AUTOMATED DETECTION OF PROTEIN AND NUCLEIC ACID TARGETS OF A CHEMICAL COMPOUND**Copyright Notice

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Background of the InventionField of the Invention

10 This invention relates to methods and apparatus for detecting protein and nucleic acid targets of a drug molecule. Aspects of the invention relate to selecting proteins and nucleic acids from a biomolecular cavity database that a drug can bind to; both geometrically and chemically. This general field is known as "Molecular Modeling"(MM) and "Computer Assisted Molecular Design" (CAMD). When used for pharmaceutical discovery, this field is  
15 referred to as "Computer-Aided Drug Design" (CADD).

Description of the Related Art and Summary*The need for a computer drug target prediction method*

A number of strategies have been proposed and used for rational discovery of new drugs. These include combinatorial chemistry, which is described in "Directed combinatorial  
20 chemistry", J. C. Hogan Jr. Nature 384 suppl., 17-19 (1996); high-throughput screening, which is described in "High-throughput screening for drug discovery", J. R. Broach and J. Thormer. Nature 384 suppl., 14-16 (1996).; QSAR, which is described in "Strategies for indirect computer-aided drug Design", Loew GH, Villar HO, Alkorta I, Pharm Res 10, 475-86 (1993); and structure-based molecular design, which is described in "Structure based drug  
25 design", Blundell. Nature 384 suppl., 23-26 (1996).

All of these strategies focus on the design of lead compounds (drug precursors) having biological activity against a defined protein or nucleic acid target. Possible interactions of these compounds with other proteins and nucleic acids are not accounted for by these

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methods. These interactions have implications to secondary therapeutic effects, unwanted side effect and toxicity. Although good biological activity is a necessity, a drug candidate needs to pass additional tests and clinical trials for side effect, toxicity, bioavailability as well as efficacy. These tests and clinical trials are very costly and time consuming.

5           Computer methods and apparatus for identifying protein and nucleic acid targets can facilitate fast-speed predictions of drug-protein and drug-nucleic acid interactions that might have implications for possible side effects, toxicity and other unwanted effects without costly and time-consuming tests and clinical trials. This is particularly important given that much of the \$350 million and 12 years spent on average for commercial drugs have been squandered  
10       on many drug candidates that failed to ever reach the market. Surveys of drug discovery costs and times can be found in "Strategic choices facing the pharmaceutical industry: A case for innovation", J. Drews, Drug. Discov. Today 2, 72-78 (1997); "New drug development in the United States from 1963 to 1990", J. A. DiMasi, N. R. Bryant, and L. Lasagna, Clin Pharmacol Ther. 50, 471-486 (1991).

15           The molecular targets of a number of natural product drugs, particularly those from traditional medicinal plants, are unknown. This hinders the effort to design new drugs based on the molecular mechanism of these drugs. Experimental determination of molecular targets of a natural product is often slow and costly. However, a computer method for drug target identification would offer a fast and low cost approach to search possible drug targets.

20       *Feasibility of computer drug target identification*

          A computer drug target identification strategy is feasible if: (1) a sufficiently diverse set of protein and nucleic acid 3D structures is available, and (2) a sufficiently fast and accurate drug identification algorithm is available under currently available and affordable computer systems. Prediction of therapeutic effects, side effects and toxicity requires  
25       knowledge of protein functions. As explained below, these conditions are being met.

          At present, the 3D structure of 11,346 proteins, 557 protein/nucleic acid complexes and 857 nucleic acids have been released in the Protein Databank (PDB), and the number increases at a rate of more than 100 per month, as described in PDB home page <http://www.rcsb.org/pdb/>. About 17% of the proteins in PDB have unique sequences, as  
30       described in "Bridging the protein sequence structure gap by structure predictions", B. Rost,

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C. Sander. Annu Rev Biophys Biomol Struct 25, 113-136 (1996). Thus, the number of proteins has reached a meaningful level to cover therapeutic, metabolic, side effect, and toxicity targets. The introduction of high-throughput analysis methods is expected to enable the determination of 10,000 proteins with unique sequence within 5 years, as discussed in  
5 "100,000 protein structures for the biologist". A Sali, Nat Struct Biol. 5, 1029-1032 (1998).

Thus, a sufficiently diverse set of proteins and nucleic acids in PDB is expected in a few years. New advances in functional genomics and proteomics is providing information useful for predicting therapeutic effects, side effects and toxicity. Functional genomics is described in "Functional genomics: It's all how you read it", P. Hieter and M. Boguski,  
10 Science 278, 601-602 (1997). Proteomics is described in "Proteomics. An ambitious drug development platform attempts to link gene sequence to expressed phenotype under various physiological states". A. Persidis. Nature Biotech. 16, 393-394 (1998).

All drugs appear to bind to cavities of proteins or nucleic acids. Thus, a biomolecular cavity database can be introduced to facilitate computer drug target identification. A method is  
15 disclosed for computer automated generation of a biomolecular cavity database from entries of a biomolecule 3D structure database. This database can include all proteins and nucleic acids in PDB and it contains information about geometric and chemical features of cavities along with the 3D structure and chemical properties of the host biomolecules.

High-speed drug target identification can be achieved by a disclosed flexible ligand-  
20 biomolecule inverse docking algorithm. This algorithm searches a biomolecular cavity database to find proteins and nucleic acids to which a given drug or ligand can bind or weakly bind to. Testing results show that the average CPU time is 14-20 days for searching a cavity database containing a few thousands of proteins and nucleic acids.

*Existing computer methods are not capable of drug target identification*

25 Existing ligand-protein docking algorithms are useful only to cavities of a limited size. Human intervention is generally required to locate binding site or to derive a reduced model of a large cavity. Thus it is impractical to use existing method for automated docking of a drug to an arbitrarily chosen protein or nucleic acid in a database. For comparison, the disclosed vector-vector matching algorithm is capable of dealing with cavities significantly larger than  
30 that of existing methods.

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Moreover, existing methods for generating protein cavity profiles are not suitable for development of a biomolecular cavity database. These methods normally require human intervention to either locate a binding site or to generate a specific cavity profile, which makes it impractical to generate cavity profiles for thousands of proteins and nucleic acids.

5 Existing methods are described in "Structure-based strategies for drug design and discovery", I.D., Kuntz, Science 257, 1078-1082 (1992); "Hammerhead: Fast, fully automated docking of flexible ligands to protein binding sites", W. Welch, J. Ruppert, and A. N. Jain. Chem. Biol. 3, 449-462 (1996); "Characterization of receptors with a new negative image: Use in molecular docking and lead optimization", C. M. Oshiro, and I. D. Kuntz. Proteins  
10 Struct. Func. Genet. 30, 311-336 (1998).

*Potential applications of the disclosed computer drug target identification method*

The disclosed methods provide a unique mechanism for fast and low cost computer identification of proteins and nucleic acids that a drug can bind to. Subsequent analysis of the function of the identified proteins and nucleic acids, coupled by the consideration of  
15 feasibility of drug delivery to site of action, can then facilitate the prediction of unknown targets, secondary therapeutic targets, possible side effects and toxicity. Thus, the invention has potential applications in:

- Facilitating the determination of unknown molecular mechanisms of drugs (such as natural products).
- 20 • Facilitating the determination of secondary therapeutic effects of drugs and drug lead compounds.
- Facilitating the prediction of possible unwanted side effect and toxicity of drug lead compounds in early stage of drug development.

Given on-going rapid advances in structural and functional genomics, information for  
25 an increasingly diverse set of proteins and nucleic acids is becoming available. The disclosed method likely will find wider and wider application in drug design.

It is an object of this invention to provide a new method for automated computer identification of possible protein and nucleic acid targets of drugs.

It is a further object of this invention to provide a new algorithm for docking a ligand  
30 to a cavity of a protein or nucleic acid.



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It is a further object of this invention to provide a new method for automated computer generation of a biomolecular cavity database from entries of a biomolecule 3D structure database.

5 This invention relates to a method for identifying protein or nucleic acid targets of a drug by means of ligand-biomolecule inverse docking strategy. This strategy performs successive docking of a ligand in single or multiple conformations to multiple protein and nucleic acid entries in a biomolecular cavity database by the vector-vector matching algorithm described below. If a particular conformation of the ligand can be fitted to a cavity (steric clash is allowed at this stage), an energy minimization is conducted to release possible steric  
10 clash and to optimize the conformation of the drug and that of the side chain of amino acids or nucleotides at the binding site. Energy minimization is conducted by using published algorithms and parameters similar to that used in the software AMBER. AMBER stands for Assisted Model Building with Energy Refinement and it is a package developed by researchers at University of California San Francisco. A reference for AMBER can be found  
15 in "A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995). Docking evaluation is performed by examination of ligand-biomolecule interaction energy computed from molecular mechanics energy functions and parameters similar in that  
20 given in AMBER. Modification is made to replace AMBER hydrogen bond function by a Morse potential function. Morse potential function has been shown to give fairly accurate hydrogen bond energy in biomolecular systems, and the use of this potential helps to save computing time considerably. Application of Morse potential function in biomolecules is described in "Premelting base pair opening probability and drug binding constant of a  
25 daunomycin--Poly d(GCAT)-Poly d(ATGC) complex", Y.Z. Chen and E.W. Prohofsky, Biophys. J. 66, 820 (1994). Proteins and nucleic acids are selected as molecular targets of the ligand if the interaction energy is below a certain value, which is a function of the number of non-hydrogen atoms in the drug.

Ligand binding is competitive in nature. A drug is unlikely to be effective if its binding  
30 is non-competitive against natural ligands and, to some extent, other drugs that bind to the same receptor site. This binding competitiveness may be partially taken into consideration for

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those cavities known to be ligand bound in at least one PDB entry. In addition to scoring based on the above energy threshold, computed energy is required to be comparable to that of the corresponding PDB ligand in selecting putative protein targets. Ligand-protein interaction energy for ligands found in PDB entries can be pre-computed and enclosed in a biomolecular cavity database (method described as a further aspect of this invention below).

In a further aspect of this invention, a vector-vector matching algorithm is introduced to efficiently place a ligand in a particular conformation into a cavity in a biomolecule. A ligand is composed of a group of atoms, and a cluster of spheres that fill in a cavity represents that cavity. A vector represents the relative position (distance and orientation) of an atom or a sphere with respect to the origin of a reference coordinate system on the ligand or that of sphere cluster respectively. In one embodiment, a coordinate system is defined for a ligand in a particular conformation based on three atoms of largest separation. Then, sets of three spheres matching the position of these three atoms are selected to define corresponding coordinate systems in a sphere group. Atom and sphere positions as vectors (xyz-coordinates) in the respective new coordinate systems can then be directly compared to dock a molecule into a cavity. The algorithm matches a ligand to a cavity by comparison of each of the ligand vectors with sphere vectors. A ligand is considered to be successfully placed into a cavity if each of all ligand vectors matches to at least one sphere vector.

In a further aspect of this invention, a method is introduced for computer automated generation of a biomolecular cavity database from entries of a protein or nucleic acid 3D structure database. This cavity database contains two sets of entries. The first set consists of cavity entries containing information about geometric and chemical features of cavities. The second set consists of host entries containing information about the 3D structure and chemical properties of host biomolecule. The minimum required information for each cavity entry: (1) position and radius of spheres of a sphere cluster representing a cavity, (2) spheres less than 3.5Å away from a hydrogen bond donor or acceptor atom of the host biomolecule, and (3) the extent each sphere is covered by atoms of the host biomolecule. The minimum required information for each host biomolecule entry: (1) positions of atoms, (2) hydrogen bond donor and acceptors, (3) partial electrostatic charges of atoms, and (4) Van der Waals parameters. Van der Waals parameters describe the property of steric interaction of atoms. These parameters and partial electrostatic charges are described in "A second generation force field



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for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995).

5 In one embodiment of the invention, each cavity entry is generated from the following procedure: (1) Computation of molecular surface of a protein or nucleic acid by using, for example, a custom designed computer program or the DMS of the software suite Midus Plus. (2) Generation of sphere groups covering cavities and surfaces of the protein or nucleic acid by using, for example, a custom designed computer program or SPHGEN of the DOCK suit of software. (3) Selection of sphere clusters, each inside a cavity. (4) Output positions and  
10 chemical properties (such as hydrogen bonding site, polar or non-polar site etc) of the selected cavity clusters. The preferred output format is that compatible to SPHGEN and CLUSTER output format. Midus Plus is described in "An Affordable Approach to Interactive Desktop Molecular Modeling," T.E. Ferrin, et. al. J. Mol. Graphics, 9, 27-32,37-38 (1991). SPHGEN is described in "Using shape complementarity as an initial screen in designing ligands for a  
15 receptor binding site of known three-dimensional structure", R. L. DesJarlais et. al, J. Med. Chem. 31, 722-729 (1988). CLUSTER is described at DOCK web-page: <http://www.cmp Pharm.ucsf.edu/kuntz/dock.html>.

Each host entry is generated from the following procedure: (1) Determining hydrogen bond donors and acceptors. (2) Assigning to each atom AMBER partial electrostatic charges,  
20 Van der Waals parameters. (3) Assigning to each atom atomic solvation parameters. (4) Outputting the quantities, with the preferred output format being compatible to the PDB format. Atomic solvation parameters measure the solvation effect of atoms and they are described in "Solvation energy in protein folding and binding". D. Eisenberg and A. D. McLachlan. Nature 319, 199-203 (1986).

25 In a further aspect of this invention, to facilitate fast-speed scoring of binding competitiveness against other ligands that bind to the same receptor site, ligand-protein interaction energy for ligand found in each cavity entry is pre-computed and enclosed in the protein cavity database. The preferred method for computing ligand-protein interaction energy for PDB ligands is that composed of molecular mechanics energy functions and parameters  
30 similar in that given in AMBER. Modification is made to replace AMBER hydrogen bond function by a Morse potential function.

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Brief Description of the Drawings

Figure 1 is a flow diagram that illustrates one embodiment of a process for determining the possible protein and nucleic acid targets of a particular drug molecule.

5 Figure 2 is schematic diagram of an HIV-1 protease inhibitor illustrating a set of vectors X, Y and Z in a three dimensional coordinate system.

Figure 3 is a schematic diagram of a sphere cluster in a cavity of the HIV-1 protease protein.

Figure 4 is a schematic diagram of a set of spheres in a sphere cluster representing the cavity of a biomolecule.

10 Figure 5 is a schematic diagram of a drug (ball and stick) being matched with a sphere cluster (separate balls) using a vector-vector matching algorithm.

Figure 6 is a schematic illustration of an inhibitor molecule being docked into the cavity of an HIV-1 protease molecule.

15 Figure 7 is a flow diagram of an automated process for generating a biomolecular cavity database from a biomolecular three-dimensional database.

Figure 8 is a schematic diagram of an anticancer drug 4H-tamoxifen (dark ball and stick) docked into the estrogen receptor protein (light stick structure).

Figure 9 is a detailed schematic diagram of the 4H-tamoxifen/estrogen binding pair from Figure 8.

20 Figure 10 is a schematic diagram of neomycin (ball and stick structure) being docked to the tar RNA of HIV-1.

Detailed Description

25 This invention is implemented in an illustrative embodiment of this invention by a plurality of computer programs and a biomolecular cavity database, which are loaded into and executed on one or more computers. Illustratively, the computer may take the form of a computer workstation such as a SGI Octane R10000, an IBM compatible PC (both Windows and Linux platforms), or other similar computer system.

This invention provides a fast and low cost method for identifying possible protein and nucleic acid targets of a given drug. It has particular application in drug design. It provides a

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potentially useful means to facilitate fast and cost efficient prediction of side effect, toxicity and secondary therapeutic effects of a lead compound in early stage of development.

### Definitions

#### 1. Instructions

5 Instructions refer to computer-implemented steps for processing information in the system. Instructions can be implemented in software, firmware or hardware and include any type of programmed step undertaken by modules of the electronic financing system.

#### 2. LAN

10 One example of the Local Area Network may be a corporate computing network, including access to the Internet, to which computers and computing devices comprising the financing system are connected. In one embodiment, the LAN conforms to the Transmission Control Protocol/Internet Protocol (TCP/IP) industry standard. In alternative embodiments, the LAN may conform to other network standards, including, but not limited to, the International Standards Organization's Open Systems Interconnection, IBM's SNA, Novell's  
15 Netware, and Banyan VINES.

#### 3. Microprocessor

The microprocessor may be any conventional general purpose single- or multi-chip microprocessor such as a Pentium® processor, a Pentium® Pro processor, a 8051 processor, a MIPS® processor, a Power PC® processor, or an ALPHA® processor. In addition, the  
20 microprocessor may be any conventional special purpose microprocessor such as a digital signal processor or a graphics processor. The microprocessor typically has conventional address lines, conventional data lines, and one or more conventional control lines.

#### 4. Modules

25 The system is comprised of various modules as discussed in detail below. As can be appreciated by one of ordinary skill in the art, each of the modules comprise various sub-routines, procedures, definitional statements, and macros. Each of the modules are typically separately compiled and linked into a single executable program. Therefore, the following description of each of the modules is used for convenience to describe the functionality of the system. Thus, the processes that are undergone by each of the modules may be arbitrarily redistributed to one of the

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other modules, combined together in a single module, or made available in, for example, a shareable dynamic link library.

#### 5. Networks

5 The system may include any type of electronically connected group of computers including, for instance, the following networks: Internet, Intranet, Local Area Networks (LAN) or Wide Area Networks (WAN). In addition, the connectivity to the network may be, for example, remote modem, Ethernet (IEEE 802.3), Token Ring (IEEE 802.5), Fiber Distributed Datalink Interface (FDDI) or Asynchronous Transfer Mode (ATM). Note that computing devices may be desktop, server, portable, hand-held, set-top, or any other desired type of configuration. As used herein, an Internet includes network variations such as public internet, a private internet, a secure internet, a private network, a public network, a value-added network, an intranet, and the like.

#### 6. Operating Systems

15 The system may be used in connection with various operating systems such as: UNIX, Disk Operating System (DOS), OS/2, Windows 3.X, Windows 95, Windows 98, and Windows NT.

#### 7. Programming Languages

20 The system may be written in any programming language such as C, C++, BASIC, Pascal, Java, and FORTRAN and ran under the well-known operating system. C, C++, BASIC, Pascal, Java, and FORTRAN are industry standard programming languages for which many commercial compilers can be used to create executable code.

#### 8. Transmission Control Protocol

25 Transmission Control Protocol (TCP) is a transport layer protocol used to provide a reliable, connection-oriented, transport layer link among computer systems. The network layer provides services to the transport layer. Using a two-way handshaking scheme, TCP provides the mechanism for establishing, maintaining, and terminating logical connections among computer systems. TCP transport layer uses IP as its network layer protocol. Additionally, TCP provides protocol ports to distinguish multiple programs executing on a single device by including the destination and source port number with each message. TCP performs functions such as transmission of byte streams, data flow definitions, data acknowledgments, lost or corrupt data re-transmissions, and multiplexing multiple

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connections through a single network connection. Finally, TCP is responsible for encapsulating information into a datagram structure.

### Overview

One embodiment of the invention is a method for identifying possible protein and nucleic acid targets of a drug through an automated ligand-biomolecule inverse docking procedure. A ligand is a small molecule, such as a drug, that can bind to a protein or nucleic acid. A biomolecule represents a protein or nucleic acid. Docking is a process of trying to fit one or more molecules (ligands) in single or multiple conformations to a cavity in another molecule (a biomolecule). Inverse docking is a process of trying to fit a molecule (ligand) in single or multiple conformations to the cavity of one or more molecules (biomolecules).

One embodiment of the invention is a method that includes:

a) Performing successive inverse docking of a ligand in single or multiple conformations to each protein or nucleic acid entry in a biomolecular cavity database. A vector-vector matching algorithm is disclosed as the preferred method for docking. A method is also disclosed for generating a biomolecular cavity database from entries of a protein or nucleic acid 3D structure database.

b) Releasing possible steric clash and optimizing the conformation of the structure of the successfully docked entry by energy minimization on the whole ligand and the side chain of amino acids or nucleotide residues around the ligand. The preferred procedure involves two steps. First is a limited torsion space conformation search, and the second is multiple iterations of Cartesian coordinate space energy minimization for the drug and surrounding amino acid or nucleic acid residues using widely used molecular mechanics energy functions and parameters.

c) Selecting the protein or nucleic acid as a drug target if the ligand-biomolecule interaction energy is below a certain value, and, in case the cavity has a ligand in at least one PDB entry, this energy is comparable to the largest ligand-protein interaction energy of the corresponding PDB ligand. The preferred method for energy computation is that uses molecular mechanics energy functions and parameters; and

d) Repetitively executing step (a) to (c) until all entries in a biomolecular cavity database are searched.



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Another embodiment of the invention is a vector-vector matching algorithm for docking a ligand to a cavity of a biomolecule. A ligand is composed of a group of atoms. A cluster of spheres, which fill in a cavity, represents that cavity. A method for computer automated creation of such a cavity sphere cluster is also disclosed. A vector represents  
5 relative position (distance and orientation) of an atom or a sphere with respect to a reference point on the ligand or sphere cluster respectively. The algorithm includes the steps of:

(a) Defining the reference point and a new coordinate system for the ligand using three unique atoms (atom A, B and C). These atoms are selected such that atom A and B has largest distance and atom C has largest vertical distance to the line between atom A and B.  
10 The vector for each ligand atom is expressed by the xyz coordinates in this new coordinate system.

(b) Selecting combinations of three spheres (sphere A, B and C) from a sphere cluster such that the relative positions of these spheres match the corresponding positions of atom A, B and C of the ligand. A match is assumed to be true if the difference in position is  
15 less than 3 angstroms. The distance between two spheres is defined as that between the center of these spheres. If no such combination is found, stop the procedure.

(c) For each selected combination, define the reference point and a new coordinate system for the cluster based on the positions of the three spheres. The vector for each sphere is expressed by the xyz coordinates in this new coordinate system.

20 (d) Comparing ligand vectors with sphere vectors. Matching (or docking) is successful if each of all ligand vectors matches at least one sphere vector.

(e) Repetitively executing step (c) and (d) until all selected combinations are evaluated.

Another embodiment of the invention is a method for computer automated generation  
25 of a biomolecular cavity database from entries of a protein or nucleic acid 3D structure database. This cavity database contains two sets of entries. One set includes cavity entries containing information on geometric and chemical features of cavities, and the other set includes host entries containing information on 3D structure and chemical properties of host biomolecules. The said method includes the steps of:

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(a) From an entry of a biomolecule 3D structure database (preferred file format is the standard Protein Data Bank format), creating surface profile of the host biomolecule by use of, for example, a custom designed program or an existing software such as Midus Plus.

5 (b) From molecular surface profile, constructing spheres that fill or cover the surface of the host molecule by use of, for example, a custom designed program or an existing software such as SPHGEN in DOCK suit of software.

(c) Dividing spheres into separate groups each covering a local region of molecular surface (e.g., a cavity or a groove) by use of, for example, a custom-designed program or an existing software such as CLUSTER in DOCK suit of softwares.

10 (d) From each group, selecting clusters each reside within a particular cavity. Selection of a sphere in a cavity is based on its darkness value that measures the extent this sphere is covered by atoms of host biomolecule in that cavity. A sphere is considered to be inside a cavity if more than 75% of the directions of surrounding space (within a range of 15 angstrom from the sphere) is covered. Such a sphere is defined as "covered". In addition, any  
15 spheres that are within 5 angstroms of a "covered" sphere are included in that cluster. If no cluster is found, go back to step (a) and start with another entry.

(e) For each selected cavity cluster, creating a cavity entry of the biomolecular cavity database. This entry contains positions and darkness value for each sphere, and the name and chemical properties of the nearest amino acid or nucleic acid in the host  
20 biomolecule. The preferred output format is that compatible to SPHGEN and CLUSTER output format.

(f) Repetitively executing step (e) until all selected cavity clusters are processed. Then creating a host entry for the biomolecular cavity database. This entry contains xyz coordinates and other chemical parameters for each atom of the host biomolecule. The  
25 preferred output format is that compatible to PDB format; and repetitively executing step (a) to (f) until all entries in a biomolecule 3D structure database are processed.

(g) Scanning the cavity entries to check whether each cavity contains a binding ligand in the original structure. If yes, the process computes the ligand-protein interaction energy and adds it to the cavity entry.

30 (h) Scanning those cavity entries without a ligand in the original structure to check whether there is a ligand in the same cavity in any other entry of the same protein or nucleic

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acid. If yes, the process adds the largest of ligand-protein interaction energy value of the corresponding ligands to the cavity entry.

*Program 1: Computer identification of possible protein and nucleic acid targets of a drug*

Referring now to the drawings and, in particular, to Figure 1, there is disclosed a process 100 for carrying out a method for the identification of possible protein and nucleic acid targets of a given drug molecule. Also disclosed is a vector-vector matching algorithm for determining whether a particular drug docks to a particular biomolecule. In the process 100, a drug is docked successively to every entry in a biomolecular cavity database by the procedure as described below and given in the flow chart of Figure 1.

The process 100 begins at a state 110, wherein the 3D structure file of a drug in Brookhaven Protein Databank (PDB) or Molecular Design Limited (MDL) "Mol" file format is loaded into a computer memory. Of course, it should be realized that molecules in other formats are anticipated to function similarly.

The files that are imported into the computer memory are normally provided by external sources. For example, MDL is the company that produces a popular chemical 3D structure database (includes many drugs and other small molecules of potential therapeutic value). The MDL mol format is used for entries in that database. PDB and MDL Mol format are the most popular format for 3D structure of drugs and other small molecules.

The process 100 then moves to a state 115 wherein the chemical properties (partial electrostatic charges, Van der Waals parameters, and solvation parameters) and information about rotatable bonds and hydrogen bond donor and acceptor sites of the drug are generated and saved into a computer file that has an extended PDB format.

This extended PDB file format is compatible to the conventional PDB file format but contains additional data relating to chemical properties. Partial electrostatic charges are taken from the AMBER reference: " A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995), with additional variations to conform to the fixed total charge of the drug. Van der Waals parameters are derived from the AMBER reference: " A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak

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P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995). The solvation parameters that are included in the extended PDB format are derived by reference to "Solvation energy in protein folding and binding". D. Eisenberg and A. D. McLachlan. Nature  
5 319, 199-203 (1986).

The process 100 then moves to a state 120 where in single or multiple conformation of a drug may be generated and vectors representing the relative position of atoms with respect to a chosen reference point on the drug in each conformation are generated. As illustrated in Figure 2, three unique atoms (atom A, B and C) are used to define the reference point and a  
10 new coordinate system. These atoms are selected such that atom A and B have the largest distance from one another on the drug. The position of Atom C is chosen as the furthest vertical position on the drug from the line defined by the points A and B in three-dimensional space.

Atom A is chosen as the origin of new coordinate system (reference point). The line  
15 between atom A and B defines the z axis. The x axis is perpendicular to the plane formed by atom A, B and C. The y axis can be derived from x and z axis. The vector for each ligand atom is expressed by the xyz coordinates in this new coordinate system.

Figure 2 illustrates atoms A, B and C together with the new xyz coordinate system for an anti-AIDS drug (HIV-1 protease inhibitor).

Referring back to Figure 1, the process 100 then moves to a state 125 wherein a cavity entry, and the corresponding host entry, in a biomolecular cavity database is loaded from a biomolecular cavity database 130. A determination is made whether the same host biomolecule has already been selected as drug target. If the same host biomolecule has been selected, another entry is selected. If the biomolecule has not already been selected, the  
20 process 100 proceeds to the next step.

The entry that is loaded from the biomolecular cavity database contains information on the coordinate positions of spheres in a sphere cluster that fill in the cavity of a protein or nucleic acid. In addition, the cavity database contains information on the "darkness values" for each sphere, and the name and chemical properties of the nearest amino acid or nucleic acid. For each cavity that has a ligand in at least one PDB entry of the same protein, the  
30 ligand-protein interaction energy for the ligand in same cavity or that with the largest energy

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is also included in the corresponding cavity entry. This allows a comparison of the previously determined ligand-protein interaction energy with the interaction energy estimated between the currently selected PDB protein and the ligand.

- 5 A darkness value of a sphere is a measure of the extent that the sphere is covered by atoms of the host biomolecule. A sphere is considered to be inside a cavity if more than 75% of the direction of surrounding space (in a range of 15 angstroms from the sphere) is covered. In addition, any spheres that are within 5 angstroms of a member in cluster are included in that cluster. The host entry contains information on positions, atom types, partial electrostatic charges, Van der Waals parameters, and solvation parameters of atoms in host biomolecule.
10. Ligand-protein interaction energy for a PDB ligand is composed of molecular mechanics energy functions and parameters similar, but not the same, as the AMBER system. Modification is made to replace AMBER hydrogen bond function by a Morse potential function. The energy function is:

$$V = \sum_{\text{H bonds}} [ V_0 (1 - e^{-\alpha(r-r_0)})^2 - V_0 ] + \sum_{\text{non bonded}} [ A_{ij}/r_{ij}^{12} - B_{ij}/r_{ij}^6 + q_i q_j / \epsilon_r r_{ij} ]$$

- 15 In this function,  $r$  is hydrogen bond donor-acceptor distance;  $V_0$ ,  $\alpha$  and  $r_0$  are hydrogen bond potential parameters and they are given in "Premelting base pair opening probability and drug binding constant of a daunomycin--Poly d(GCAT)-Poly d(ATGC) complex", Y.Z. Chen and E.W. Prohofsky, Biophys. J. 66, 820 (1994);  $A_{ij}$  and  $B_{ij}$  are van der Waals parameters;  $\epsilon_r$  is the dielectric constant,  $q_i$  and  $q_{ij}$  are the partial charges of the  $i$ -th and  $j$ -th atoms, and  $r_{ij}$  is the distance between them. These terms and parameters are from the united atom version of AMBER described in "A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995).
- 20

- 25 As one example, Figure 3 shows a sphere cluster 135 of an HIV-1 protease protein 140.

The process 100 then moves to state 145 wherein vectors representing the relative position of each sphere to a reference point in the sphere cluster is generated. In order to calculate the relative position of each sphere in the sphere cluster, combinations of three



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spheres (sphere A, B and C) from the sphere cluster are selected. These three spheres are selected such that the relative positions of these spheres match the corresponding positions of atom A, B and C of the ligand. A match is assumed to be true if the difference in position is less than 3 angstroms. The distance between two spheres is defined as that between the center  
5 of these spheres.

For each selected 3-sphere combination, the three spheres are used to define the reference point and the new coordinate system. Sphere A is chosen as the origin of a new coordinate system (reference point). The line between sphere A and B defines the z axis. The x axis is chosen to be perpendicular to the plane formed by spheres A, B and C. The y axis  
10 can be derived from x and z axis. The vector for each sphere is expressed by the xyz coordinates in this new coordinate system.

If there are more than one selected 3-sphere combination, multiple sphere vector sets are generated for each cluster.

Figure 4 illustrates spheres A, B and C of a 3-sphere combination together with the new xyz coordinate system for the sphere cluster representing the cavity in HIV-1 protease.  
15

The process 100 then moves to a state 150 wherein the docking of a drug in one conformation to a cavity is performed by the disclosed vector-vector matching algorithm.

Drug vectors are compared to vectors in each sphere set. The comparison is made by computing the difference between the xyz coordinates of a drug vector with that of a sphere vector taken from the 3-sphere combination. A match of two vectors is assumed to be true if  
20 the difference is less than 1 angstrom. Figure 5 illustrates the comparison of drug vectors with sphere vectors.

The process 100 then moves to a decision state 155 in order to check whether each of all vectors of the drug matches at least one vector in a sphere vector set. If no match is found  
25 for all sphere vector sets the process 100 moves to a decision state 160 to check whether or not all conformations of a drug is docked. If not, the process 100 moves to 150 to dock the next conformation. If yes, the process 100 moves to a decision state 200.

Otherwise the process moves to the next state 165. However, steric clash between the drug and host biomolecule is allowed at this stage.

30 At state 165 the successfully docked structure is then subjected to a limited torsion-space conformation optimization to relieve steric clash. This optimization involves a torsion

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grade search of  $-10^\circ$  to  $+10^\circ$  with  $5^\circ$  interval for all rotatable bonds in the drug and those of the side chain of amino acids or nucleotides within 5 angstrom of the drug. The conformation with the lowest energy value is selected as the optimized conformation. Energy is computed by the following molecular mechanics function:

$$V = \sum_{\text{H bonds}} [V_0 (1 - e^{-a(r-r_0)})^2 - V_0] + \sum_{\text{non bonded}} [A_{ij}/r_{ij}^{12} - B_{ij}/r_{ij}^6 + q_i q_j / \epsilon_r r_{ij}]$$

In this function,  $r$  is hydrogen bond donor-acceptor distance;  $V_0$ ,  $a$  and  $r_0$  are hydrogen bond potential parameters and they are given in "Premelting base pair opening probability and drug binding constant of a daunomycin--Poly d(GCAT)-Poly d(ATGC) complex", Y.Z. Chen and E.W. Prohofsky, Biophys. J. 66, 820 (1994);  $A_{ij}$  and  $B_{ij}$  are van der Waals parameters;  $\epsilon_r$  is the dielectric constant,  $q_i$  and  $q_j$  are the partial charges of the  $i$ -th and  $j$ -th atoms, and  $r_{ij}$  is the distance between them. These terms and parameters are from the united atom version of AMBER described in "A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995).

The process 100 then moves to a state 170 wherein fifty iterations of a Cartesian coordinate energy minimization are performed. All atoms of the drug and those of the side chain of amino acids and nucleotides within 5 angstrom of the drug are allowed to move in this minimization.

Energy minimization can be conducted by steepest decent method described in "Linear and nonlinear programming, 2<sup>nd</sup> edition", D. G. Luenberger, Eddison-Wesley, 1984. In this method, the search direction is the derivative of the energy function at the current position. The energy function is:

$$V = 1/2 \sum_{\text{bonds}} K_r (R - R_{eq})^2 + 1/2 \sum_{\text{angles}} K_\theta (\theta - \theta_{eq})^2 + 1/2 \sum_{\text{torsions}} V_n [1 - \cos(n(\phi - \phi_{eq}))] + \sum_{\text{H bonds}} [V_0 (1 - e^{-a(r-r_0)})^2 - V_0] + \sum_{\text{non bonded}} [A_{ij}/r_{ij}^{12} - B_{ij}/r_{ij}^6 + q_i q_j / \epsilon_r r_{ij}]$$

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In this function,  $R$ ,  $\theta$ , and  $\phi$  denotes bond length, angle and torsion angle respectively;  $R_{eq}$ ,  $\theta_{eq}$  and  $\phi_{eq}$  are taken as bond length, angle and torsion angle in the starting structure,  $K_r = 900 \text{ kcal}/(\text{mol angstrom}^2)$ ,  $K_\theta = 200 \text{ kcal}/(\text{mol gradian}^2)$ ,  $V_n = 25 \text{ kcal}$ , and  $n = 2$ ;  $r$  is hydrogen bond donor-acceptor distance, and  $V_0$ ,  $a$  and  $r_0$  are hydrogen bond potential parameters and they are given in "Premelting base pair opening probability and drug binding constant of a daunomycin--Poly d(GCAT)-Poly d(ATGC) complex", Y.Z. Chen and E.W. Prohofsky, Biophys. J. 66, 820 (1994);  $A_{ij}$  and  $B_{ij}$  are nonbonded van der Waals parameters;  $\epsilon_r$  is the dielectric constant,  $q_i$  and  $q_j$  are the partial charges of the  $i$ -th and  $j$ -th atoms, and  $r_{ij}$  is the distance between them. These terms and parameters are from the united atom version of AMBER described in "A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995).

The process 100 then moves to a state 175 wherein the drug-biomolecule interaction energy is then computed and evaluated by using the following molecular mechanics energy function:

$$V = \sum_{\text{H bonds}} [V_0 (1 - e^{-a(r-r_0)})^2 - V_0] + \sum_{\text{non bonded}} [A_{ij}/r_{ij}^{12} - B_{ij}/r_{ij}^6 + q_i q_j / \epsilon_r r_{ij}] + \sum_{\text{atoms } i} \Delta\sigma_i A_i$$

In this function,  $r$  is hydrogen bond donor-acceptor distance;  $V_0$ ,  $a$  and  $r_0$  are hydrogen bond potential parameters and they are given in "Premelting base pair opening probability and drug binding constant of a daunomycin--Poly d(GCAT)-Poly d(ATGC) complex", Y.Z. Chen and E.W. Prohofsky, Biophys. J. 66, 820 (1994);  $A_{ij}$  and  $B_{ij}$  are van der Waals parameters;  $\epsilon_r$  is the dielectric constant,  $q_i$  and  $q_j$  are the partial charges of the  $i$ -th and  $j$ -th atoms, and  $r_{ij}$  is the distance between them. These terms and parameters are from the united atom version of AMBER described in "A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. Journal of the American Chemical Society 117, 5179-5197 (1995);  $\Delta\sigma_i$  is atomic solvation parameter given in "Solvation energy

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in protein folding and binding". D. Eisenberg and A. D. McLachlan. Nature 319, 199-203 (1986); and  $A_i$  is the computed solvent-accessible surface area of the  $i$ -th atom.

5 The process 100 then moves to a decision state 180 wherein a determination is made whether the selected energy criterion is met. The docked protein or nucleic acid in a particular conformation is selected as a possible drug target at state 185 if the computed drug-biomolecule energy is below  $-aN-bN^2-cN^3-d$  kcal/mol and it is comparable to that of the corresponding PDB ligand in the cavity. The process 100 then moves to the decision state 200. Here  $N$  is the number of non-hydrogen atoms in the drug. In addition,  $a$ ,  $b$ ,  $c$ , and  $d$  are parameters statistically fitted to the ligand-protein interaction energy of a few dozen PDB  
10 ligands with various number of atoms. The ligand-protein structure of these PDB ligands is from the Protein Data Bank.

Figure 6 shows an example of the docked structure of an inhibitor bound to HIV-1 protease. If energy criterion is not met, the process 100 moves to a decision state 190 to check whether all drug conformations are docked. If no, the process 100 moves to 150 to dock the next conformation. If yes, the process 100 moves to a decision state 200.  
15

The process 100 at state 200 checks whether all entries in the built-in database have been searched. If all of the entries have not been searched, the process 100 returns to the state 125. However, if all of the entries have been searched, the program stops at an end state 210.

*Program 2: Computer automated generation of a biomolecular cavity database*

20 Referring now to Figure 7, there is disclosed a process 200 for carrying out a method of generating of a biomolecular cavity database from entries of a protein or nucleic acid database. In this program, protein and nucleic acid entries from a database are successively processed by the process 200.

The process 200 is carried out as follows:

25 State 205: Open a protein or nucleic acid 3D structure database on a local or remote computer. Preferred file format is the PDB format.

State 210: Getting a nucleic acid or protein entry from the database. If there is a ligand, then computing the ligand-protein energy using the energy function given below. Removing any ligand and water in the structure. The energy function is:

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$$V = \sum_{\text{H bonds}} [ V_0 (1 - e^{-a(r-r_0)})^2 - V_0 ] + \sum_{\text{non bonded}} [ A_{ij}/r_{ij}^{12} - B_{ij}/r_{ij}^6 + q_i q_j / \epsilon_r r_{ij} ]$$

In this function,  $r$  is the hydrogen bond donor-acceptor distance;  $V_0$ ,  $a$  and  $r_0$  are hydrogen bond potential parameters and they are given in "Premelting base pair opening probability and drug binding constant of a daunomycin--Poly d(GCAT)-Poly d(ATGC) complex", Y.Z. Chen and E.W. Prohofsky, *Biophys. J.* 66, 820 (1994);  $A_{ij}$  and  $B_{ij}$  are van der  
 5      Waals parameters;  $\epsilon_r$  is the dielectric constant,  $q_i$  and  $q_{ij}$  are the partial charges of the  $i$ -th and  $j$ -th atoms, and  $r_{ij}$  is the distance between them. These terms and parameters are from the united atom version of AMBER described in "A second generation force field for the simulation of proteins and nucleic acids", Cornell, WD, Cieplak P, Bayly CI, Gould IR, Merz  
 10      KM Jr, Ferguson DM, Spellmeyer DC, Fox T, Caldwell JW and Kollman PA. *Journal of the American Chemical Society* 117, 5179-5197 (1995).

State 215: Create a molecular surface profile of the nucleic acid or protein by use of, for example, a custom software program or an existing software program such as Midus Plus. Midus Plus is described in "An Affordable Approach to Interactive Desktop Molecular  
 15      Modeling", T.E. Ferrin, et. al. *J. Mol. Graphics*, 9, 27-32,37-38 (1991).

State 220: From molecular surface profile, construct spheres that fill or cover the surface by using, for example, a custom program or existing software such as SPHGEN in DOCK suit of software. SPHGEN is described in "Using shape complementarity as an initial screen in designing ligands for a receptor binding site of known three-dimensional structure",  
 20      R. L. DesJarlais et. al, *J. Med. Chem.* 31, 722-729 (1988). The spheres are divided into separate groups, with each group covering a local region of molecular surface (e.g., a cavity or a groove). Software such as a custom program, or the CLUSTER program in the DOCK suit of software can be used in one embodiment. CLUSTER is described at DOCK web-page:  
<http://www.cmpfarm.ucsf.edu/kuntz/dock.html>.

25      State 225: From each group, select clusters of spheres which reside within a particular cavity. If one or more clusters are found, go to state 235. If no cluster is found, go to state 250.

Selection of a sphere residing within a cavity is based on its darkness value that measures the extent this sphere is covered by atoms of host biomolecule in that cavity. A sphere is considered to be inside a cavity if more than 75% of the directions of the  
 30      surrounding space is covered by atoms of host biomolecule. Of course, one of ordinary skill



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in the art could choose higher or lower percentages and be within the scope of the present invention. The darkness value is computed by scanning the surrounding space within 15 angstrom of the sphere. Its value is the portion of the directions of the surrounding space covered by host atoms multiplied by  $4\pi$  (in units of solid angle). In addition, any spheres that  
5 are within 5 angstroms of a member in the cluster are included in that cluster.

State 235: For each selected cavity cluster, create a cavity entry for the biomolecular cavity database. This entry contains a position, radius, and darkness value for each sphere; spheres within 3.5 angstrom of a hydrogen bond donor or acceptor; the name and polar nature of the nearest amino acid or nucleic acid in the host biomolecule and its distance to sphere. If  
10 the selected cavity entry has a ligand in the original PDB entry, the computed ligand-protein energy is also entered. The preferred output format is that compatible to SPHGEN and CLUSTER output format.

State 240: Repetitively executing state 235 until all selected cavity clusters are processed.

15 State 245: Creating a host entry for the biomolecular cavity database. This entry contains xyz coordinates, partial electrostatic charge, Van der Waals parameters, and solvation parameters for each atom of the host biomolecule. The hydrogen bond donor or acceptor is also indicated. Partial electrostatic charge and Van der Waals parameters are from united atom version of AMBER described in "A new force field for molecular mechanical simulation of  
20 nucleic acids and proteins", S. J. Weiner et. Al., J. Am. Chem. Soc. 106, 765-784 (1984). Atomic solvation parameters are from "Solvation energy in protein folding and binding". D. Eisenberg and A. D. McLachlan. Nature 319, 199-203 (1986). The preferred output format is that compatible to PDB format.

State 250: Repetitively executing the previous steps until all entries in a biomolecule  
25 3D structure database are processed. The process 200 then ends at a State 255.

Once this process has completed, the database can be further scanned to find those cavity entries that do not have an identified ligand ("ligand-less" cavity), but there is at least one PDB entry that has identified a ligand for the same cavity of the same protein or nucleic acid. The largest ligand-protein interaction energy of the corresponding ligands is added to  
30 each of these "ligand-less" cavities.

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One advantage of this embodiment of the invention over existing methods is its unique capability of finding possible protein and nucleic acid targets of a drug. In contrast, existing computer methods for ligand-protein docking is designed for screening multiple chemical compounds to find one or more compounds that can bind to a protein or nucleic acid. These existing methods are not capable of finding protein and nucleic acid targets of a drug.

This unique capability allows the methods and system disclosed herein to be used to facilitate the determination of unknown drug targets, secondary therapeutic targets, and also to facilitate the prediction of side effects and toxicity based on the analysis of the function of protein or nucleic acid targets.

The improvement of the disclosed vector-vector docking process over existing ligand-protein docking systems is in terms of computation speed and memory requirement. The disclosed vector-vector matching process only requires approximately  $N^3$  rounds to complete the comparison of vectors. Here  $N$  is the larger of number of spheres in a cavity and that of a drug.

In contrast, the distance-distance programs used in the existing methods require approximately  $N^4$  rounds to complete the comparison of distances. As the CPU time and memory requirement is proportional to  $N$ , our system achieves a maximum of approximately  $N$  times faster CPU time and requires approximately  $N$  times less memory. This significantly improves the computation speed and the size of cavity that the computer can manipulate.

Testing results on four protein targeting drugs have shown that the preferred embodiment of this invention correctly selected their therapeutic protein targets from 5,000 proteins in the built-in database. In addition, several proteins confirmed or implicated by experiments were also selected. A protein is said to be implicated if experimental evidence shows the protein is affected by the drug but it is not clear whether this is caused directly by drug binding to that protein. The results are summarized below:

Drug	Therapeutic effect	No. of Human Proteins Identified	Experimental Findings	
			Confirmed	Implicated
4H-Tamoxifen	Anticancer	17	4	4
Aspirin	Antiinflammation	52	4	16
Penicillin G	Antibiotic	30	3	7
Vitamin C	Supplement	46	4	9
Vitamin E	Supplement	26	2	11

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Another testing, the RNA targeting antibiotic drug neomycin identified 7 RNA targets, of which 3 has been confirmed and 1 implicated by experiments.

Drug	Therapeutic effect	No. of RNAs Identified	Experimental Findings	
			Confirmed	Implicated
Neomycin	Antibiotic	7	3	1

Between 25% to 57% of the identified targets have been confirmed or implicated experimentally. Some of the PDB structures may be of little relevance to binding study. These include entries containing only an incomplete section or a chain, irrelevant mutants, and some macromolecular complexes. In addition, discrepancies may result from lack of consideration of gene expression patterns and pharmacokinetic and metabolic profiles of a drug. For these reasons, it is difficult to judge their performance.

The preferred embodiment of this invention can be used in identification of drug targets. The identification of addition 3D structures of proteins and nucleic acids will augment the ability of the invention still further. In addition, the development of 3D structures of additional conformations will increase the likelihood that a particular protein or nucleic acid in the database will be in the relevant conformation. Increasing the biological molecule database will also increase the ability to detect solvation effects for any particular biological molecule.

Details of some of these testing are given in the two examples given below.

#### Example 1

Several compounds were tested using a preferred embodiment of the system of the present invention to determine putative binding targets. The results of these tests are provided in the table below wherein a series of ligands were tested to determine their putative binding targets in the Brookhaven Protein Database.

**INVDOCK testing results for several PDB structures and on putative targets of vitamin E** (RMSD stands for Root Mean Square Deviation and it is in units of angstrom. Energy is the ligand-protein interaction energy and it is in units of kcal/mol).

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<u>Compound</u>	Docked Protein	PDB Id	RMSD	Energy
Indinavir	HIV-1 Protease	1hsg	0.19	-70.25
Xk263 Of Dupont Merck	HIV-1 Protease	1hvr	0.21	-58.07
Vac	HIV-1 Protease	4phv	0.12	-88.46
Folate	Dihydrofolate Reductase	1dhf	1.24	-46.02
5-Deazafolate	Dihydrofolate Reductase	2dhf	0.46	-65.49
Methotrexate	Dihydrofolate Reductase	4dfr	1.64	-65.66
Estrogen	Estrogen Receptor	1a52	0.67	-45.86
4-Hydroxytamoxifen	Estrogen Receptor	3ert	0.18	-55.15
Guanosine-5' - [B,G-Methylene]Triphosphate	H-Ras P21	121p	0.17	-80.2
Glycyl-*L-Tyrosine	Carboxypeptidase A $\alpha$	3cpa	1.12	-40.63
Huperzine A	Acetylcholinesterase	1vot	0.93	-39.35
Aspirin	Prostaglandin H2 Synthase-1	1pth	4.71	-32.43
2'-Deoxyuridine 5'-Monophosphate	Thymidylate Synthase	1syn	0.65	-50.89
Vitamin E	17 $\beta$ Hydroxysteroid-Dehydrogenase	1a27	0.71	-50.01
Vitamin E	Aldose Reductase	1az1	0.51	-50.44
Vitamin E	Alcohol Dehydrogenase	1dda	0.51	-43.92
Vitamin E	Immunoglobulin	1mcd	3.22	-45.83
Vitamin E	Glutathione Synthetase	2hgs	0.54	-41.66
Vitamin E	D-Amino Acid Oxidase	1aa8	0.32	-46.87
Vitamin E	Guanylyl Cyclase	1awn	1.51	-40.57
Vitamin E	Prostaglandin Endoperoxide Synthase	1djj	2.32	-41.51
Vitamin E	Nitric Oxide Synthase	2nse	1.13	-41.49

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**EXAMPLE 2****Identification of Targets for the Anti-Cancer Drug Tamoxifen**

The anticancer drug tamoxifen was used to test the capability of the preferred embodiment of this invention in identifying protein targets of drugs. Tamoxifen is a well-known anticancer drug and was approved as the first cancer preventive drug in 1998. Extensive research has been conducted on this drug, including a determination of its protein targets. For a review, see "Steroidal and nonsteroidal oestrogen antagonists in breast cancer: basic and clinical appraisal", R. E. Favoni, and A. d. Cupis, TiPS 19, 406-415 (1998).

One of the known therapeutic targets of tamoxifen is the estrogen receptor. Figure 8 shows an illustration of the structure of a tamoxifen - estrogen receptor complex generated by the preferred embodiment of this invention. For comparison, the x-ray crystal structure of natural ligand estrogen bound to this receptor is also included. Both the drug and the natural ligand bind to the same site, which is shown in Figure 9 which validates the mechanism described herein for determining molecules that bind to drugs targets.

Statistics of the tamoxifen search was:

Number of proteins scanned	2,000	(CPU time 2 weeks)
Number of human proteins scanned	1,164	
Number of human and		
equivalent protein targets identified	17	(4 confirmed, 4 implicated)

A detailed list of protein targets identified by a preferred embodiment of this invention is given below together with references of relevant experimental testing:



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**Possible Human Protein Targets of 4H-Tamoxifen identified from INVDOCK search of human and mammalian proteins.**

PDB	Protein	Experimental Finding	Target Status	Clinical Implication	Ref
1a52	Estrogen Receptor	Drug target	Confirmed	Treatment of breast cancer	1
1akz	Uracil-Dna Glycosylase				
1az1	Aldose Reductase				
1bnt	Carbonic Anhydrase				
1boz	Dihydrofolate Reductase	Decreased level		Combination therapy for cancer	2
1dht, 1fdt	17 $\beta$ -Hydroxysteroid Dehydrogenase	Inhibitor	Confirmed	Promotion of tumor regression	3
1gsd, 3ljr	Glutathione Transferase A1-1, Glutathione S-Transferase	Suppressed enzyme and activity		Genotoxicity and carcinogenicity	4
1mch	Immunoglobulin Lambda Light Chain	Temporarily enhanced Ig level		Modulation of immune response	5
1p1g	Macrophage Migration Inhibitory				
1ulb	Purine Nucleoside Phosphorylase				
1zqf	Dna Polymerase Beta				
2n11	Retinoic Acid Receptor				
1a25	Protein Kinase C	Inhibition	Confirmed	Anticancer	6
1aa8	D-Amino Acid Oxidase				
1afs	3 $\alpha$ -Hydroxysteroid Dehydrogenase	Effect on androgen induced activity		Hepatic steroid metabolism	7
1pth	Prostaglandin H2 Synthase-1	Direct inhibition	Confirmed	Prevention of vasoconstriction	8
1sep	Sepiapterin Reductase				
2toh	Tyrosine 3-Monooxygenase				

Favoni, R. E. & Cupis, A. D. *Trends Pharmacol Sci.* 19, 406-415 (1998).

Levine, R. M., Rubalcaba, E., Lippman, M. E. & Cowan, K. H. *Cancer Res.* 45, 1644-1650 (1985).

Santner, S. J. & Santen, R. J. *J. Steroid. Biochem. Mol. Biol.* 45, 383-390 (1993).

Nuwaysir, E. F., Daggett, D. A., Jordan, V. C. & Pitot, H. C. *Cancer Res.* 56, 3704-3710 (1996).

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Paavonen, T., Aronen, H., Pyrhonen, S., Hajba, A. & Andersson, L. C. *APMIS* 99, 849-853 (1991).

Rowlands, M. G. et al. *Biochem. Pharmacol.* 50, 723-726 (1995).

Lax, E. R., Rumstadt, F., Plasczyk, H., Peetz, A. & Schriefers, H. *Endocrinology* 113, 1043-1055 (1983).

Ritchie G. A. *Recent Results Cancer Res.* 71, 96-101 (1980).

## EXAMPLE 2

An antibiotic drug neomycin is used to test the capability of the preferred embodiment of this invention in identifying RNA targets of drugs. Neomycin is an aminoglycoside antibiotic that inhibits bacterial protein synthesis. A number of RNA targets have been found by experimentation. Hence, this drug can be used to test the preferred embodiment of this invention. Neomycin binding to RNA is described in "Specific binding of aminoglycoside antibiotics to RNA", Y. Wang, R. R. Rando, *Chem Biol* 2, 281-290 (1995).

Testing generated seven RNA targets for neomycin out of 195 RNA related entries in the built-in database. Three of these RNAs have been confirmed and 1 implicated by experiments. A structure of neomycin docked to regulatory element of a tar RNA is shown in Figure 10. Statistics of the testing result are:

Number of RNAs and RNA complexes scanned: 195

Number of RNAs and RNA

complexes targets identified: 7 (3 confirmed, 1 implicated)

A detailed list of RNA targets identified by a preferred embodiment of this invention is given below together with references of relevant experimental testing:

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**Possible RNA Targets of Antibiotic Drug Neomycin  
Identified by Preferred Embodiment of This Invention**

PDB Id	RNA	Confirmed by Experiment	Implicated by Experiment	Ref.
lanr	RNA REGULATORY ELEMENT TAR	Binding	.	1
laqo	IRON RESPONSIVE ELEMENT RNA HAIRPIN	Binding	.	2, 3
lebq	RNA	.	.	.
lelh	5S RIBOSOMAL RNA	.	.	.
lgid	P4-P6 RNA RIBOZYME DOMAIN	Binding	.	4
lnum	RIBONUCLEOPROTEIN/RNA COMPLEX	.	.	.
lrna	RNA DUPLEX	.	Binding?	5.

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WHAT IS CLAIMED IS:

1. A method of identifying biological molecules that can bind with a drug having N non-hydrogen atoms, said method comprising:

5 retrieving an electronic file comprising a three-dimensional description of the drug;

retrieving an electronic file comprising a three-dimensional description of a plurality of biological molecules to be tested;

10 determining whether the drug can dock to each of the plurality of biological molecules based on the three-dimensional descriptions of the drug and each of the biological molecules;

calculating the energy level of the lowest energy minimization conformation of the drug and each of the plurality of biological molecule which can dock to the drug; and

15 identifying biological molecules as capable of binding to the drug as those biological molecules having an energy level of the lowest energy minimization conformation below a predetermined level.

2. The method of Claim 1, wherein identifying biological molecules comprises identifying those biological molecules that have an interaction energy level that is similar to a previously determined interaction energy value for the biological molecules and a second drug  
20 that binds to the same cavity in the protein.

3. The method of Claim 2, wherein the previously determined interaction energy level is  $-aN-bN^2-cN^3-d$  kcal/mol, and wherein N is the number of atoms of a ligand, and a, b, c, and d are parameters statistically fitted to the ligand-protein interaction energy of a few dozen PDB ligands with various number of atoms.

25 4. The method of Claim 1, wherein said electronic file comprises three-dimensional descriptions of multiple conformations of said drug and determining whether the drug can dock to each of the plurality of biological molecules comprises matching said multiple conformations of said drug with said plurality of biological molecules.

5. The method of Claim 1, wherein determining whether the drug can dock  
30 comprises calculating a vector-vector matching algorithm between the drug and each of the plurality of biological molecules.

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6. The method of Claim 5, wherein calculating the vector-vector matching algorithm comprises generating a cluster of spheres that represents a docking cavity in the biological molecule.

5 7. The method of Claim 1, wherein determining whether the drug can dock permits steric clashes between the drug and each of the plurality of biological molecules.

8. The method of Claim 1, wherein the file comprising a three dimensional description of a drug is in the Brookhaven Protein Databank (PDB) or Molecular Design Limited (MDL) mol format.

10 9. The method of Claim 1, wherein the three-dimensional description of the plurality of biomolecules consists of three-dimensional descriptions of cavities of each of the biomolecules.

10. A method of generating an entry in a database of biomolecular cavity structures, comprising:

15 retrieving a three-dimensional molecular structure of a biomolecule from a biomolecular database;

generating a molecular surface profile of the molecular structure, said profile comprising a plurality of positions of surface atoms of the biomolecule;

generating a series of spheres that cover the molecular surface profile;

20 identifying a cavity as a cluster of spheres which are covered by a plurality of surface atoms;

identifying the coordinate positions and radii of the spheres within the cluster as a representation of the position of the cavity; and

storing the positions and radii of the spheres within the cluster as the entry in the biomolecular cavity database.

25 11. The method of Claim 10, wherein identifying a cavity comprises identifying spheres having vectors with more than 75% of their directions covered by atoms of the biomolecule.

30 12. The method of Claim 10, further comprising identifying a darkness value for each sphere within the cluster, and storing the darkness values in the biomolecular cavity database.



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13. The method of Claim 10 wherein a plurality of entries in the biomolecular cavity database are stored

14. A biomolecular cavity database comprising a plurality of entries, each of said entries comprising positions and radii of a plurality of spheres within a cluster, each said  
5 cluster representing a cavity within a biomolecule.

- 1/10 -

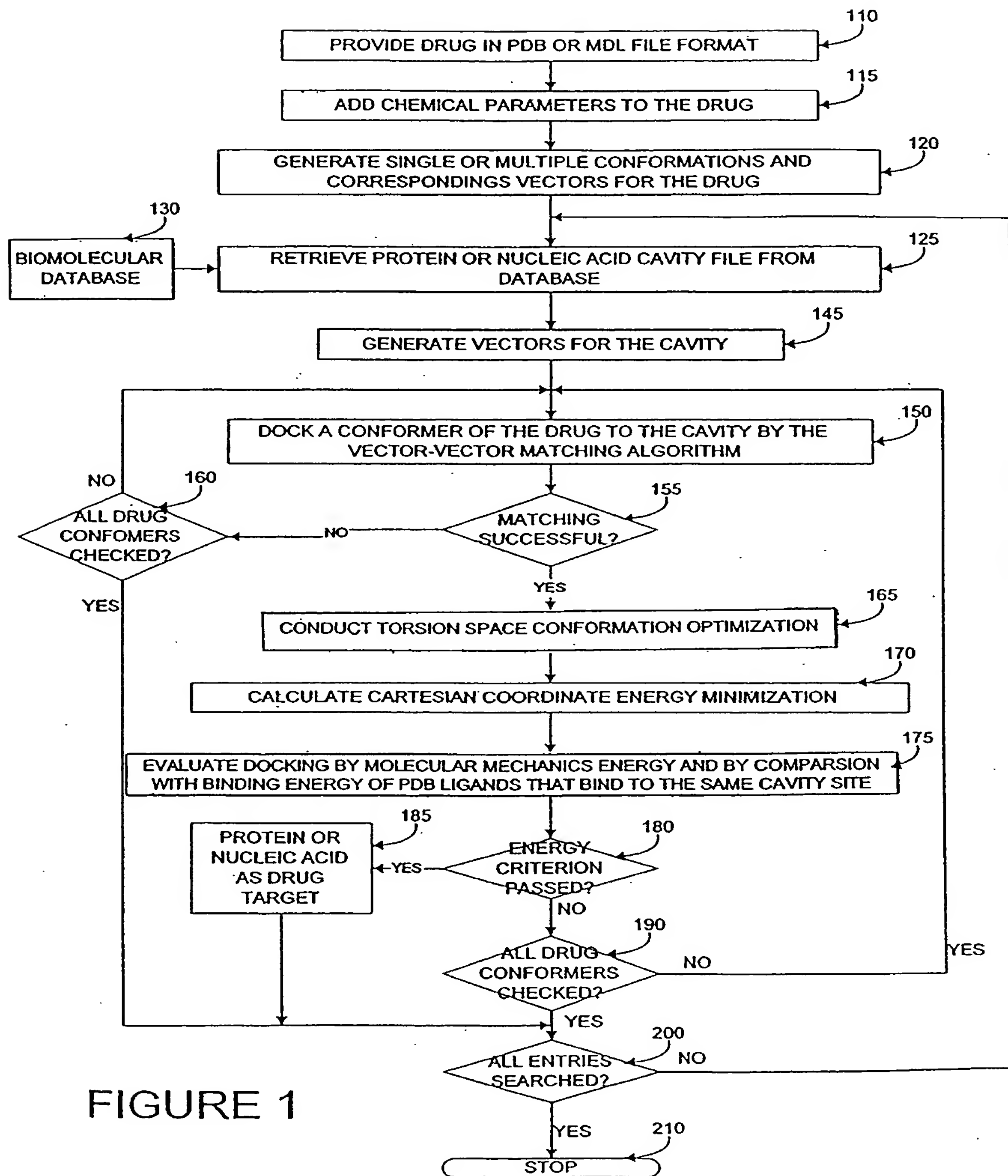


FIGURE 1

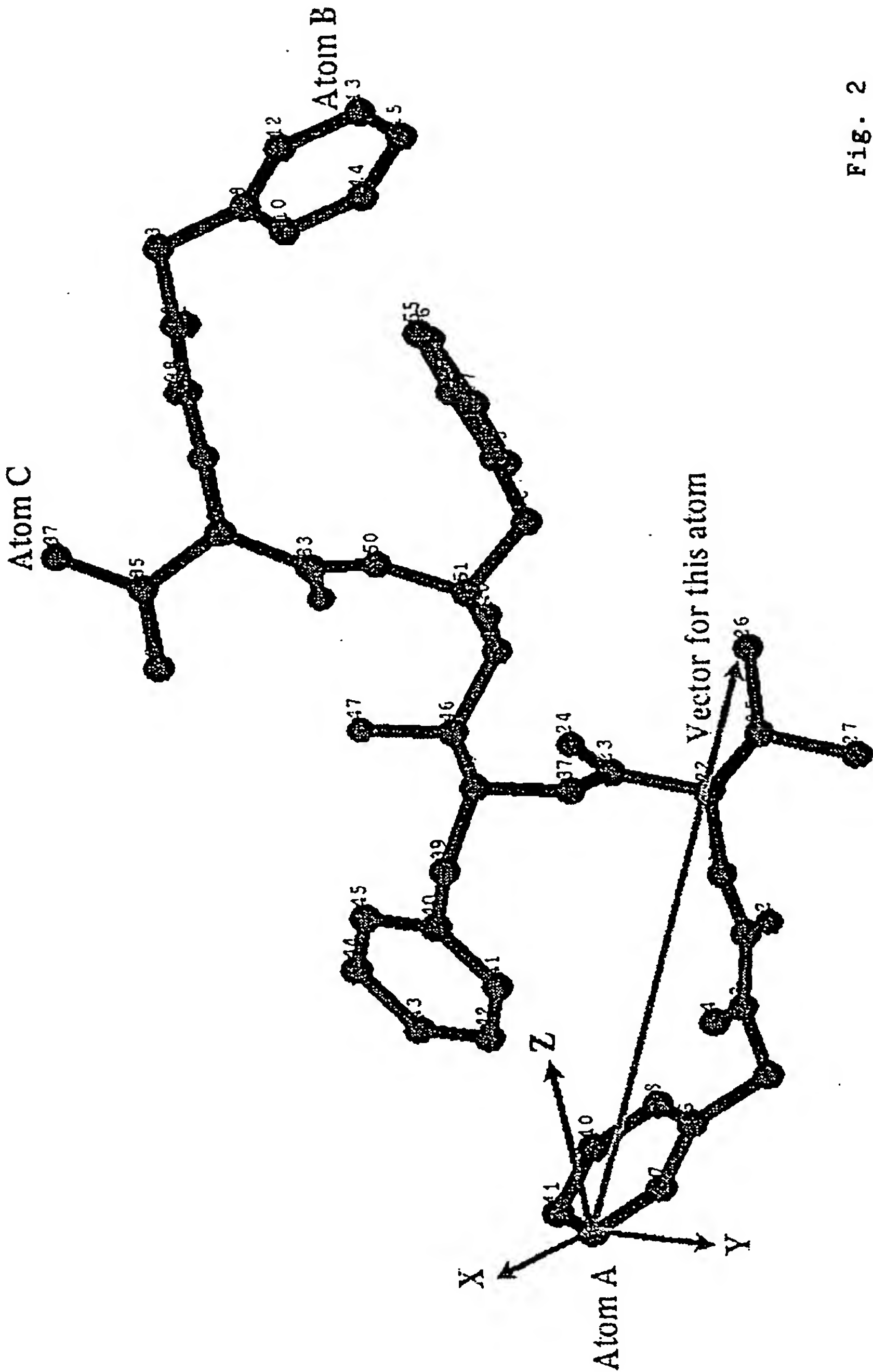


Fig. 2

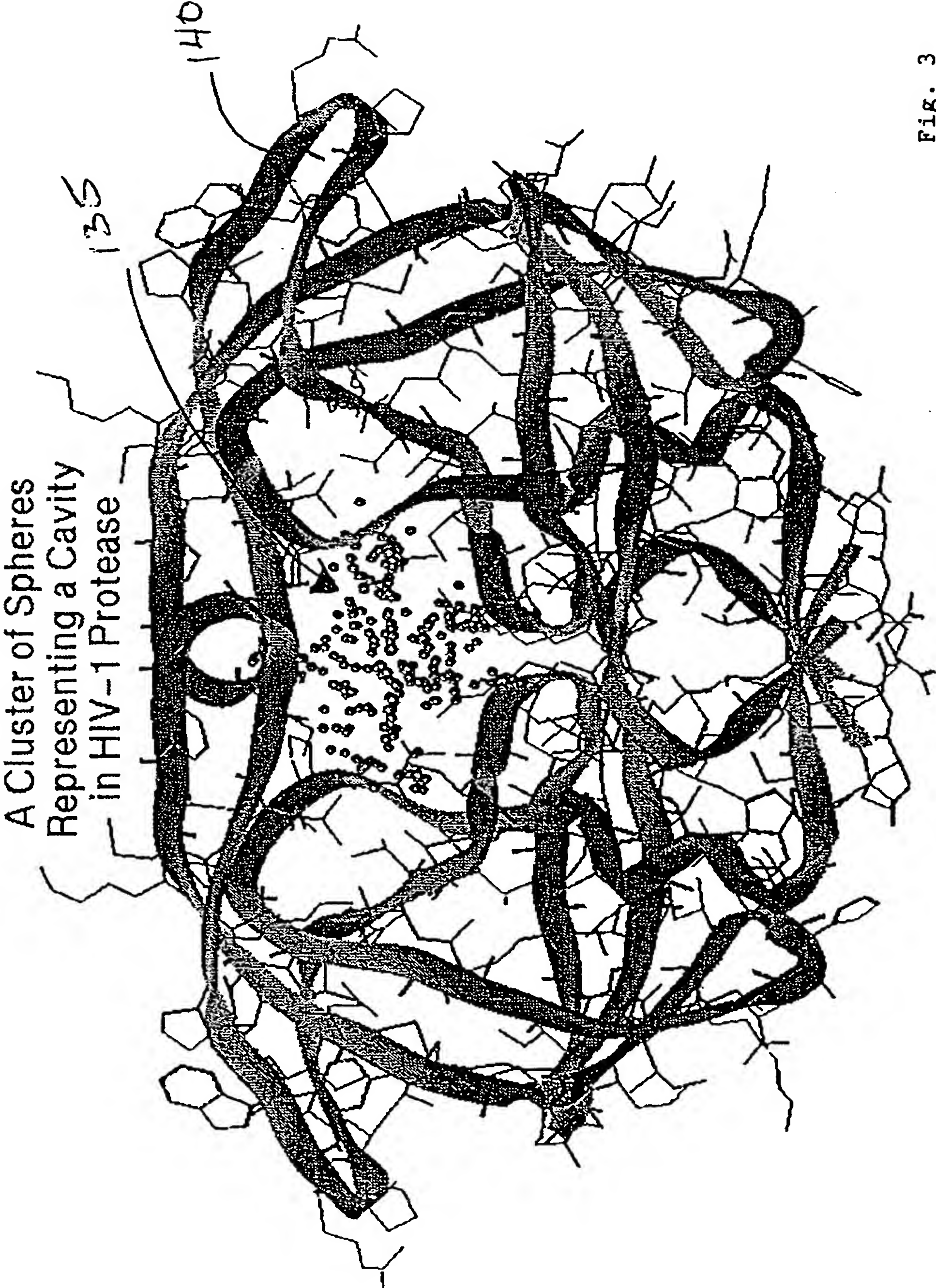


Fig. 3

- 4/10 -

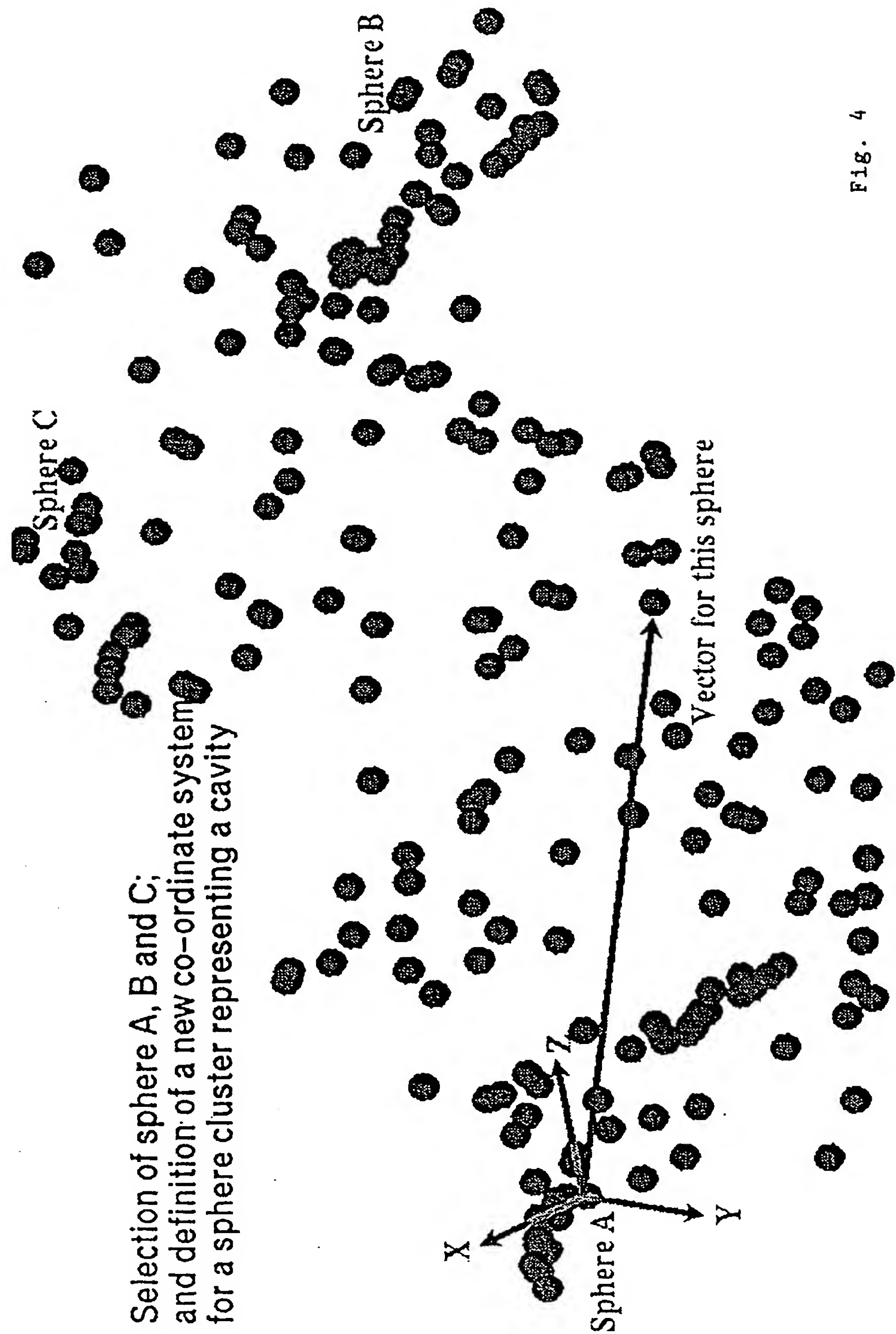


Fig. 4

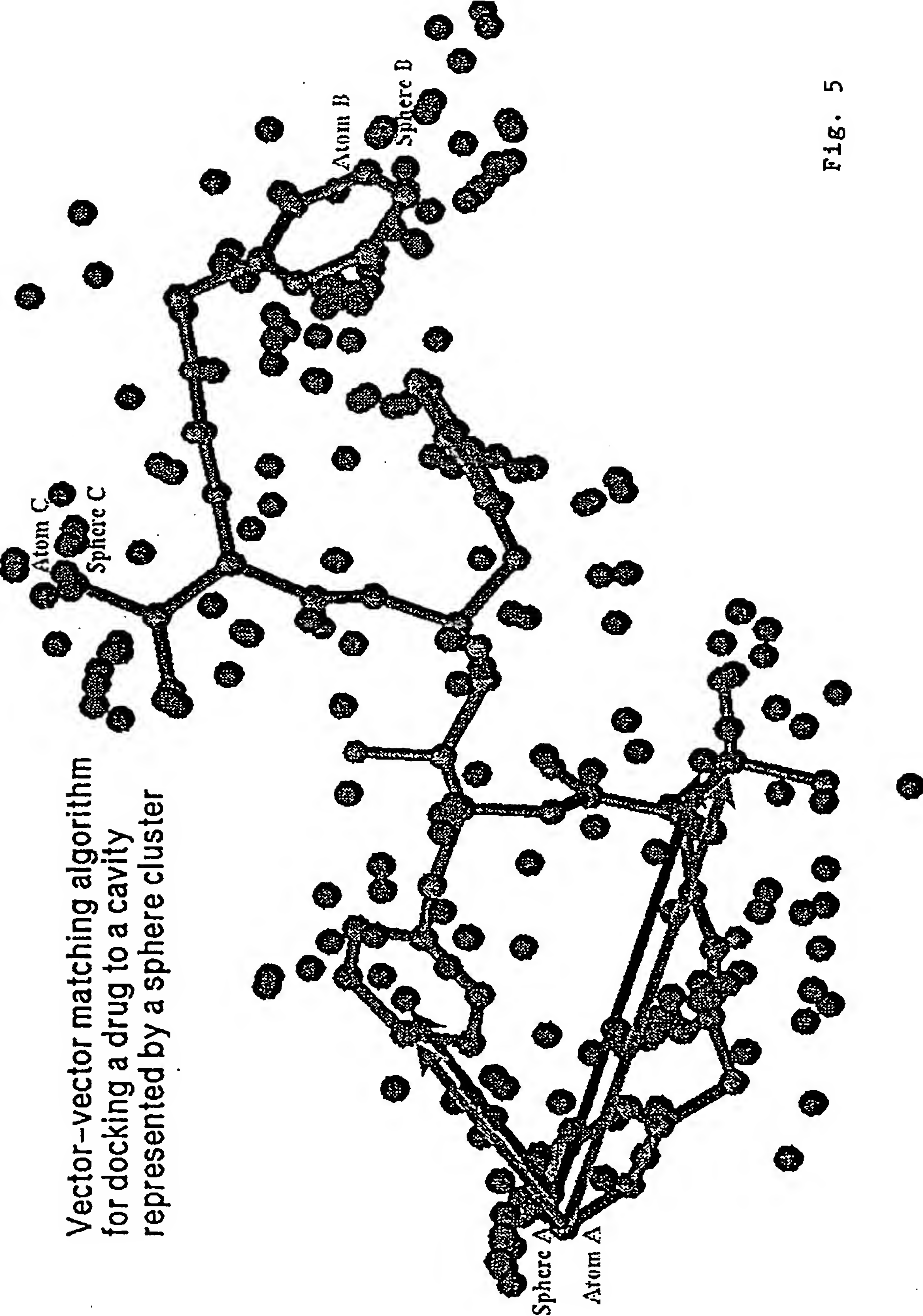


Fig. 5



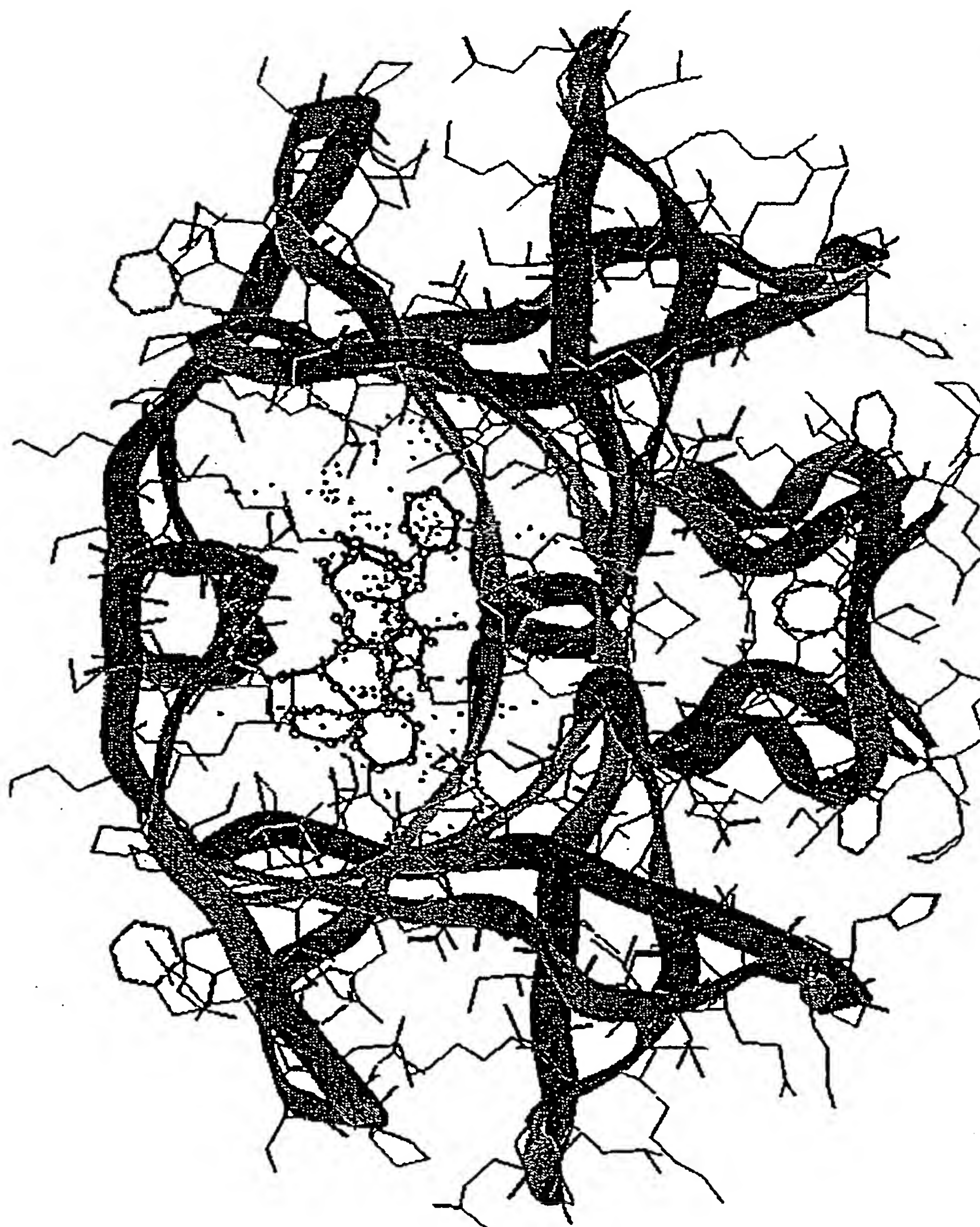


Fig. 6

An inhibitor docked into the cavity  
of a HIV-1 protease by vector-vector docking algorithm

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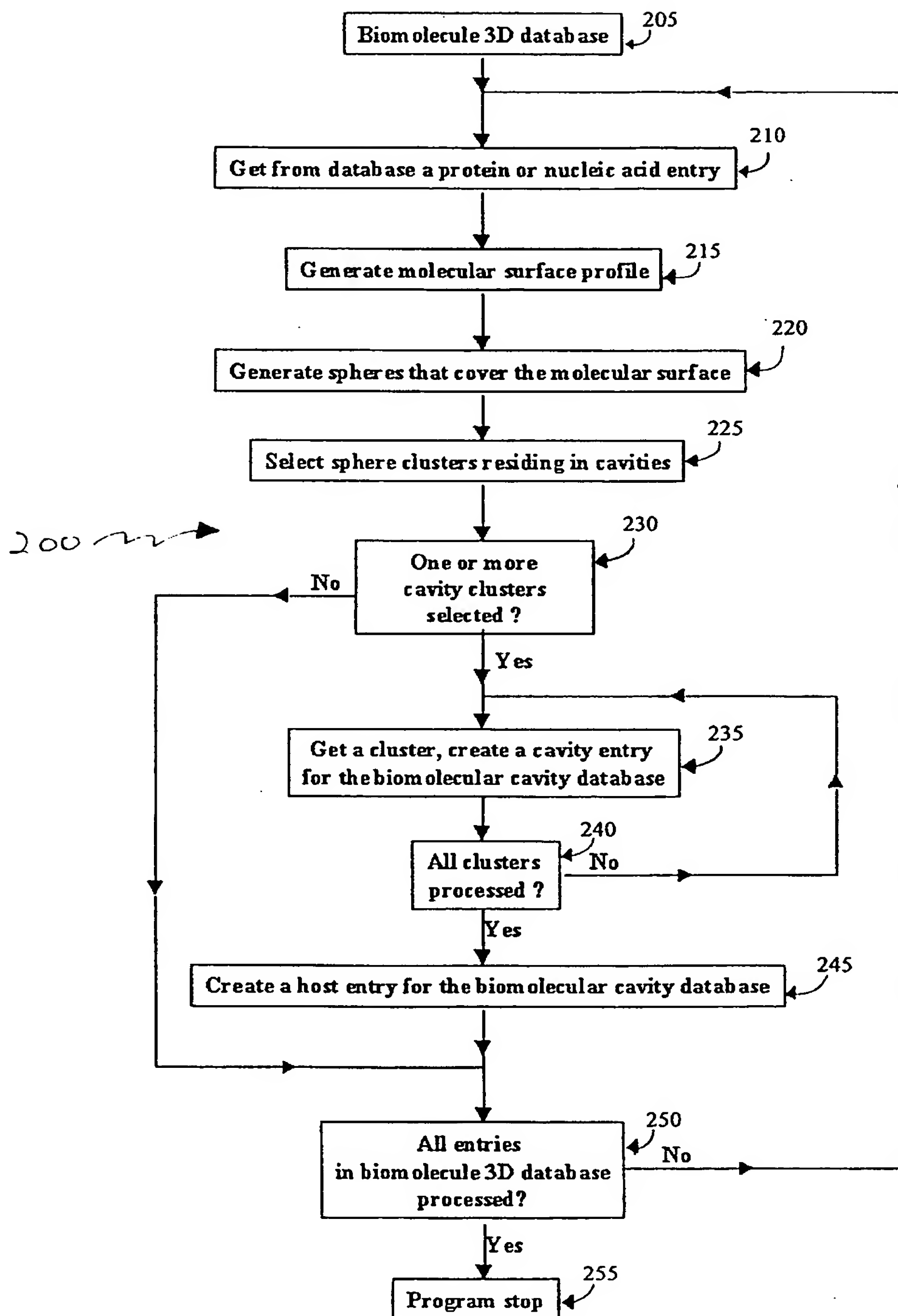


Fig. 7

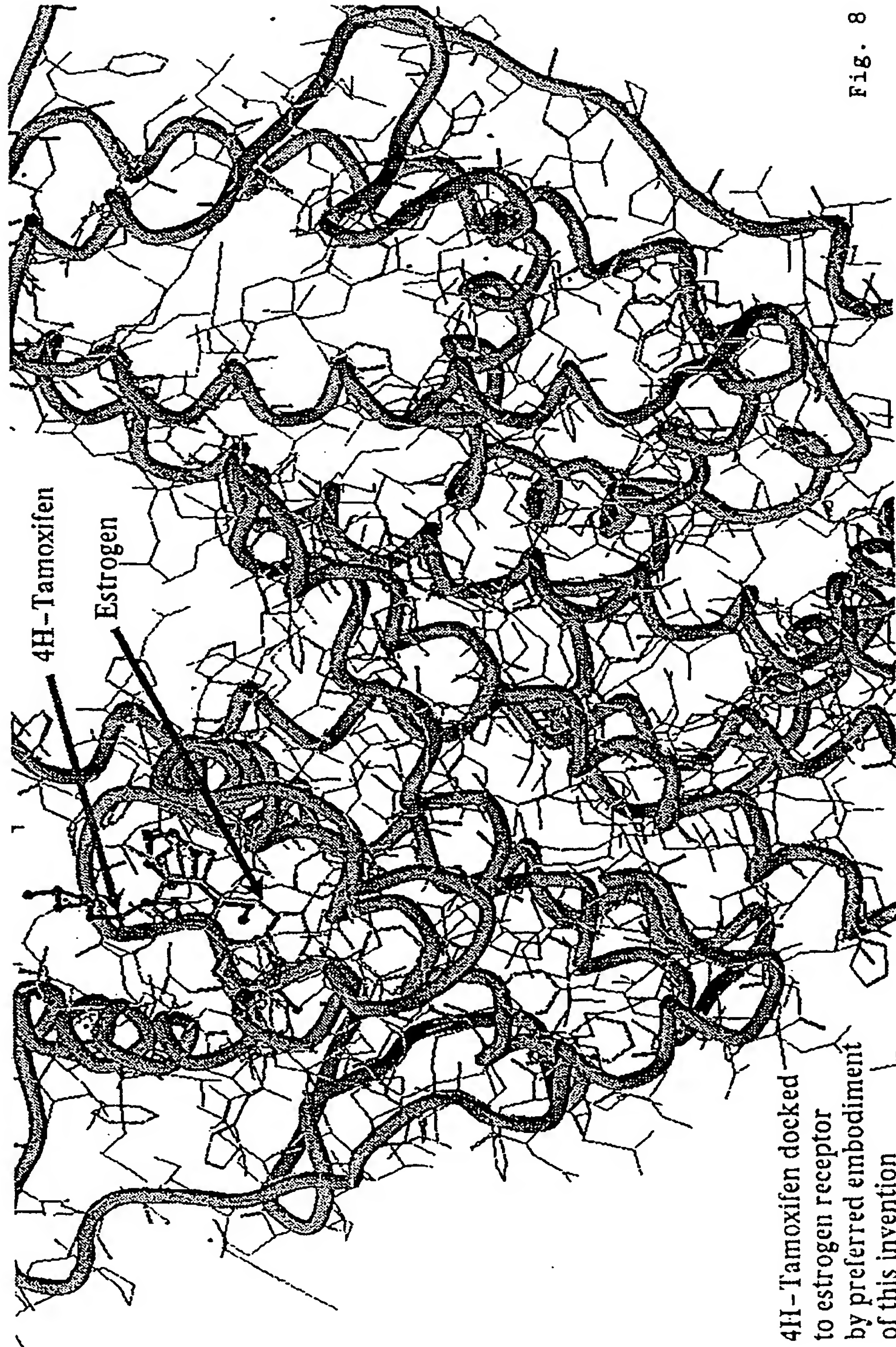


Fig. 8

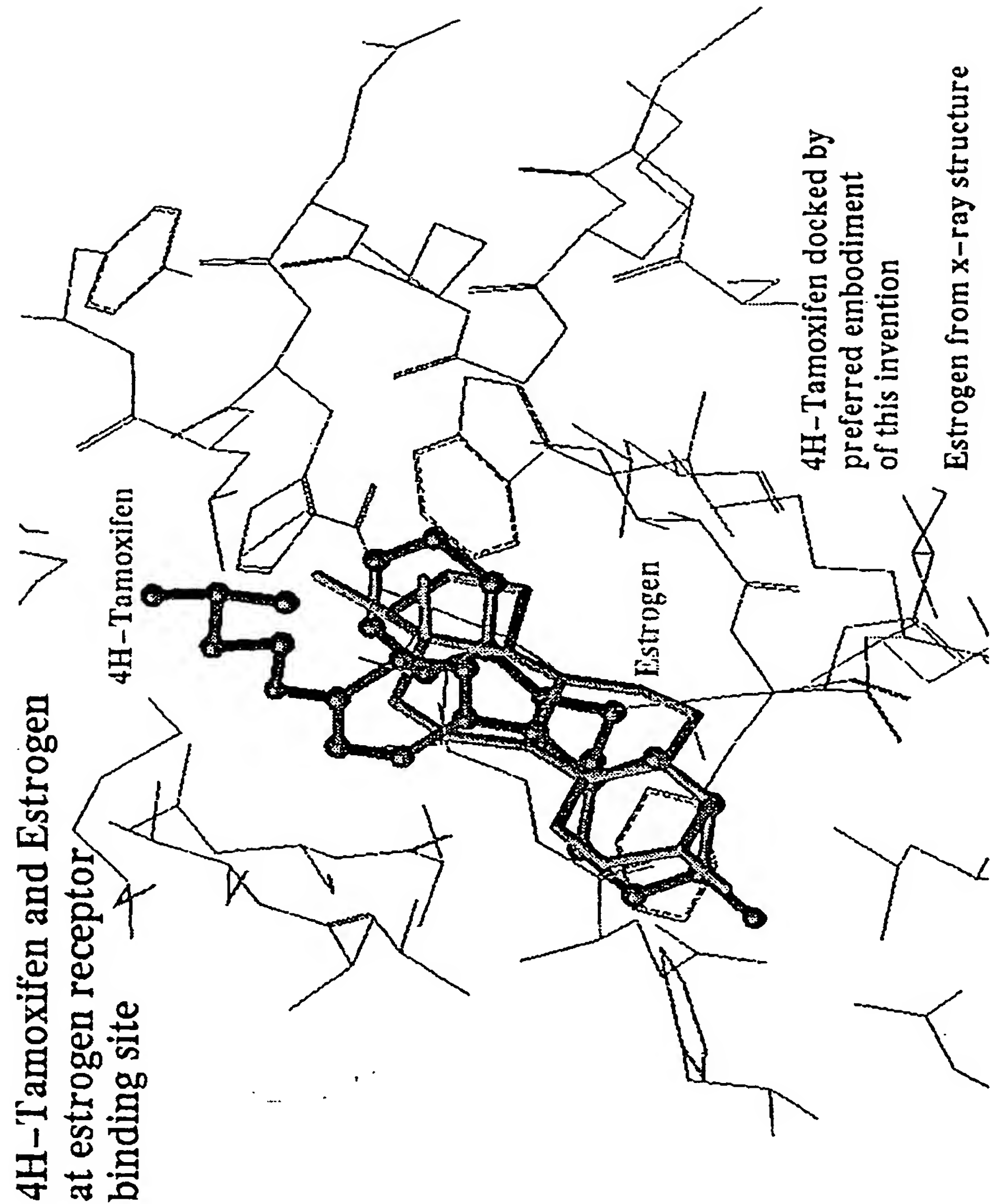


Fig. 9

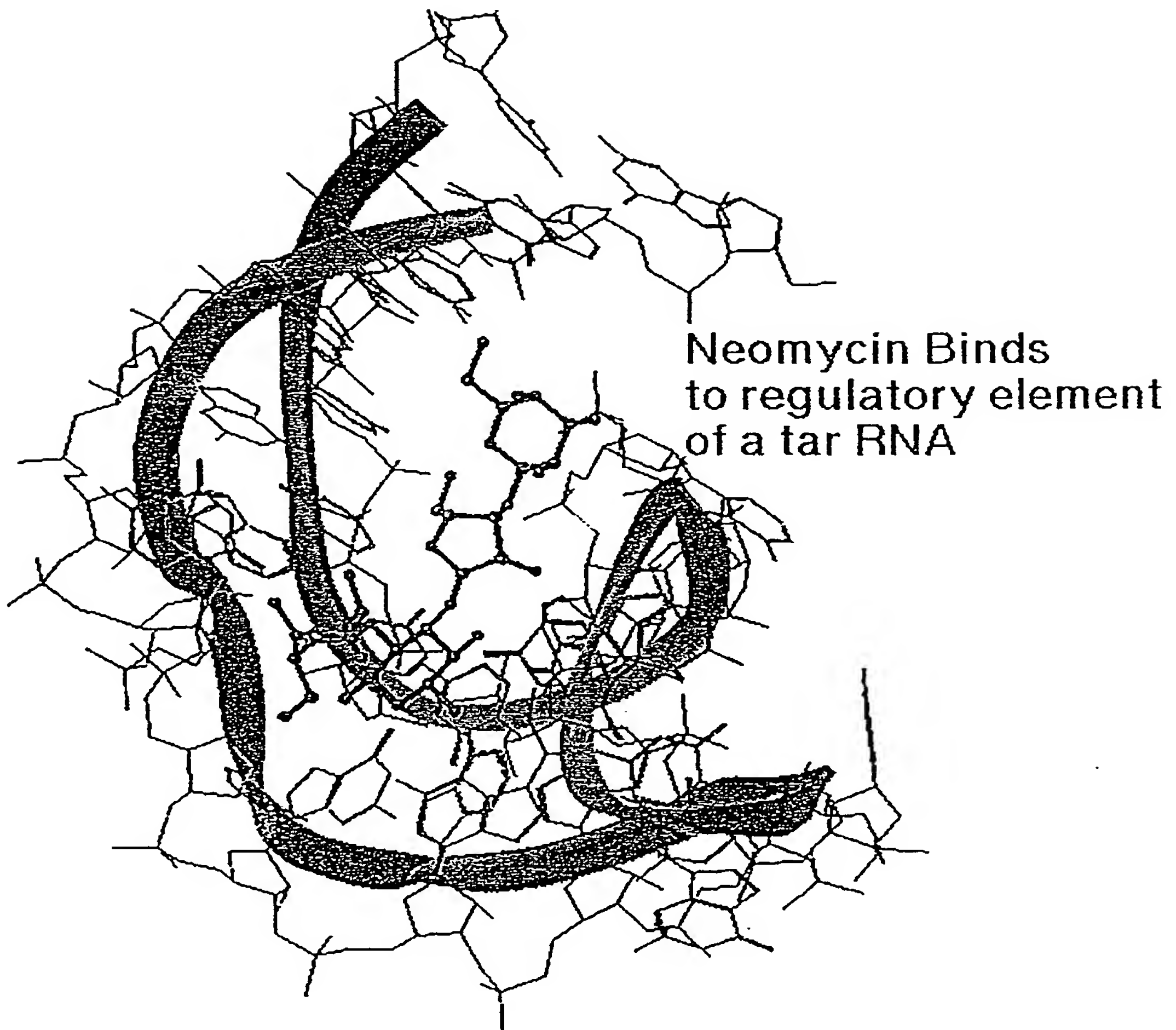


Fig. 10



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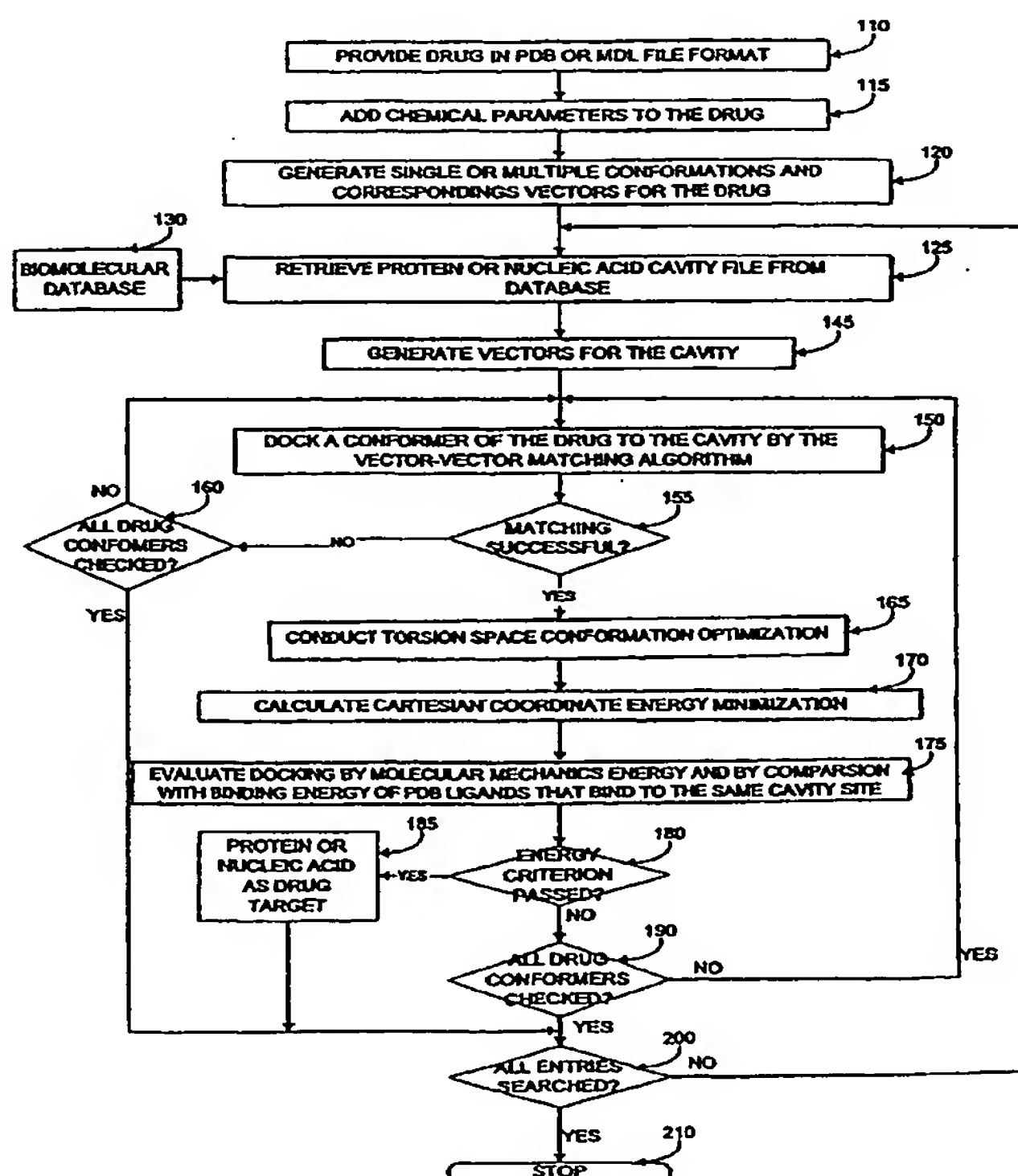
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[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR COMPUTER AUTOMATED DETECTION OF PROTEIN AND NUCLEIC ACID TARGETS OF A CHEMICAL COMPOUND



(57) Abstract: A method and apparatus are disclosed for computer-automated detection of putative protein and nucleic acid targets of a chemical compound. The disclosed method and apparatus have potential applications in identification of unknown or secondary therapeutic targets and targets related to side-effect and toxicity of drugs, lead compounds or natural products. A ligand-biomolecule inverse-docking approach is disclosed as the method for finding putative targets, which searches a biomolecular cavity database to find protein and nucleic acid cavities that a chemical compound can be docked into. Docking is by shape-matching between a chemical compound (in single or multiple conformations) and a cavity model (a sphere cluster) using a disclosed vector-vector matching algorithm. Docked ligand-biomolecule structures are subject to conformation optimization. Putative targets are selected by evaluation of both ligand-biomolecule interaction energy and binding competitiveness against known binders. A method is also disclosed for computer-automated generation of a biomolecular cavity database from protein and nucleic acid 3D structure database.

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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/SG 00/00138

CLASSIFICATION OF SUBJECT MATTER		
IPC <sup>7</sup> : G06F 17/00, 17/50, 17/30		
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B. FIELDS SEARCHED		
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Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
WPI, CAS		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 99/44055 A1 (NICHOLIS) 2 September 1999 (02.09.99) page 10, line 15 - page 12, line 5, claim 1.	1-14
A	EP 0790567 A1 (ITAI) 20 August 1997 (20.08.97) claims 1,5,6,7, fig. 1.	1-14
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<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
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Patent document cited in search report			Publication date	Patent family member(s)			Publication date
EP	A1	790567	20-08-1997	CN	A	1171160	21-01-1998
EP	A4	790567	03-09-1997	WO	A1	9613785	09-05-1996
WO	A1	9944055	02-09-1999	EP	A1	1057014	06-12-2000

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